

Reg. No. : .....

Name : .....

Fourth Semester B.Com. Degree Examination, March 2020

First Degree Programme Under CBCSS

Core Course CO 1441/CC 1442

**CAPITAL MARKET**

(Common for Commerce/Commerce with Computer Application)

(2014-17 admission)

Time : 3 Hours

Max. Marks : 80

**SECTION – A**

Answer **all** questions to a maximum of **two** sentences. Each question carries **1** mark.

1. What is new issue market?
2. What are Options?
3. What is Pre-emptive right?
4. What is Gilt-edged market?
5. Who are Arbitrageurs?
6. What do you mean by listing of securities?
7. What are stock market indices?
8. Expand STRIPS.

9. What do you mean by call money?
10. What is IPO?

(10 × 1 = 10 Marks)

### SECTION – B

Answer **any eight** questions in not exceeding one paragraph each. Each question carries **2** marks.

11. What is private placement?
12. Trace the difference between primary and secondary market.
13. Who is a Bull speculator?
14. What are repos?
15. Explain any two index prices in India.
16. What is Offer for Sale?
17. What do you mean by unorganised money market?
18. Discuss how commodity futures differ from financial futures.
19. What is Insider trading?
20. What are financial services?
21. Examine the role of OTCEI.
22. Explain the concept of book building.

(8 × 2 = 16 Marks)

### SECTION – C

Answer **any six** questions in not exceeding **120** words each. Each question carries **4** marks.

23. Discuss the defects of Indian money market.
24. Describe the various types of Bonds.
25. Examine the functions of Capital market.
26. Write a brief note on NSE.
27. Distinguish between futures and forwards.
28. What is online stock trading? Discuss its advantages.
29. Explain the role of NSDL in dematerialization.
30. Briefly describe the operation of Option contract. Explain the different types of options.
31. Discuss the eligibility norms for public issues.

**(6 × 4 = 24 Marks)**

### SECTION – D

Answer **any two** questions in not exceeding **4** pages each. Each question carries **15** marks.

32. Who are the major players in derivative market? What kind of risk are they exposed to?
33. Give an account of SEBI and its functions.
34. Discuss briefly the various types of instruments that are dealt in money market.
35. Examine the weaknesses of stock exchanges in India.

**(2 × 15 = 30 Marks)**

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**(2014 Admission Onwards)**

Time : 3 Hours

Max. Marks : 80

**SECTION - A**

Answer **all** questions to a maximum of two sentences. Each question carries **1** mark.

1. What do you mean by financial market?
2. What is kerb trading?
3. What is QIP?
4. Define derivatives.
5. Who are Book Runners?
6. What is red herring prospectus?
7. Expand DFHI.
8. What is a forward contract?
9. What are swaps?
10. What is notice money?

**(10 × 1 = 10 Marks)**

P.T.O.

## SECTION - B

Answer any **eight** questions in not exceeding one paragraph each. Each question carries **2** marks.

11. What is dematerialization of securities? List two benefits.
12. Discuss the merits of T-Bills.
13. What are Depository Receipts.
14. Describe 'Wash sales'.
15. Mention the objectives of SEBI.
16. Who is a Registrar to an issue?
17. Explain ASBA.
18. What is Underwriting?
19. Who are Commission brokers?
20. What is Cornering?
21. How is an investor different from a speculator?
22. What is ESOP?

## SECTION - C

(8 × 2 = 16 Marks)

Answer any **six** questions in not exceeding 120 words each. Each question carries **4** marks.

23. Explain the advantages of listing.
24. Discuss the steps in book-building process.

25. Explain the terms (a) New issue (b) Public issue (c) Bonus issue and (d) Right issue.
26. State the powers of SEBI.
27. Distinguish between futures and options.
28. Write a note on any four innovative financial instruments.
29. Give a brief account on the members of stock exchange.
30. Discuss the functions of a depository.
31. Describe the various types of debentures.

(6 × 4 = 24 Marks)

#### SECTION - D

Answer any **two** questions in not exceeding 4 pages each. Each question carries **15** marks.

32. Give a brief account on the management of SEBI.
33. Critically evaluate the recent trends in Indian Capital Market.
34. Describe the important intermediaries in the new issue market and their role in issue management.
35. Discuss the procedure of trading in Indian Stock Exchanges.

(2 × 15 = 30 Marks)



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Time : 3 Hours

Max. Marks : 80

**PART – A**

Answer **all** questions. **Each** question carries **1** mark.

1. What is a covered call ?
2. What do you mean by book building ?
3. What is Red Herring Prospectus ?
4. What is rights issue ?
5. What is underwriting ?
6. What is limit order book ?
7. What is value weighted index ?
8. What do you mean by put-call parity ?
9. What is forward contract ?
10. Define risk of an investment.

**(10×1=10 Marks)**

**PART – B**

Answer **any eight** questions. **Each** question carries **2** marks.

11. What are money market instruments ?
12. When is an investment said to be highly marketable ?
13. What do you mean by G. Sec. or Govt. Securities ?
14. State the function of a depository.
15. What are the objectives of NSE ?

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16. State the two different types of options.
17. Name the commodity futures exchanges in India.
18. Define, 'institutional investors'.
19. What is a depository participant ?
20. What do you mean by preferential allotment ?
21. List the functions of financial markets.
22. Define, 'stock exchange'.

**(8×2=16 Marks)**

**PART – C**

Answer **any six** questions. **Each** question carries **4** marks.

23. Explain the role of government in securities market.
24. Discuss the methods of raising fund in the primary market.
25. What are the main features of treasury bills ?
26. Explain the common types of Financial derivatives.
27. Explain the concept of 'Free-float Methodology'.
28. What are the major developments effected in BSE since the NEP. (New Economic Policy).
29. Give the stock market classification of equity shares.
30. What is IPO grading ? What are its constituents ?
31. Discuss the major steps taken by the SEBI to reform the Indian capital market.

**(6×4=24 Marks)**

**PART – D**

Answer **any two** questions. **Each** question carries **15** marks.

32. Briefly discuss the procedure for buying and selling securities in the secondary market.
33. Briefly discuss the role and functions of various participants in the Indian Capital Market.
34. Briefly explain the functions of the Securities Exchange Board of India.
35. What is SENSEX ? Discuss the methodology of calculating it. **(2×15=30 Marks)**