

Reg. No. :

Name :

Fourth Semester B.B.A. Degree Examination, March 2020
Career Related First Degree Programme under CBCSS
Group 2 (b)

CORE COURSE: BM1443 INVESTMENT MANAGEMENT

(2014 – 2016 Admission)

Time : 3 Hours

Max. Marks : 80

SECTION – A

Answer **all** questions in **one or two** sentences each. Each questions carries **1** marks.

1. What do you mean by security market?
2. What is listing?
3. What do you mean by swing trading?
4. What is preferred stock?
5. What do you mean by dematerialization?
6. What do you mean by futures?
7. What do you mean by Zero coupon bonds?
8. What is market risk?
9. What do you mean by candlestick chart?
10. What is dividend payout ratio?

(10 × 1 = 10 Marks)

P.T.O.

SECTION – B

Answer **any eight** questions in note exceeding **one paragraph**. Each questions carries 2 marks.

11. What do you mean by speculation?
12. What is trading cycle?
13. What is insider trading?
14. What do you mean by gilt edged security?
15. What is a depository?
16. What do you mean by portfolio management?
17. Define option.
18. What is commodity swap?
19. What is PEG?
20. What do you mean by trends in stock market.
21. What is derivatives?
22. What are the different types of investors?

SECTION – C

(8 × 2 = 16 Marks)

Answer any **six** questions in not exceeding **one page** each. Each question carries 4 mark.

23. Explain the role of SEBI for investor protection.
24. Write a short note on EIC framework.
25. Explain the functions of SEBI.

26. Briefly explain Elliot Wave theory.
27. State and explain different phases of portfolio management.
28. Distinguish between investment and speculation.
29. What is OTCEI? As compared to traditional stock exchange what are the advantages of OTCEI?
30. What do you mean by security? Discuss the different types of securities traded in stock market.
31. Distinguish between futures and forward.

(6 × 4 = 24 Marks)

SECTION – D

Answer any **two** questions in not exceeding **four pages** each. Each question carries **15** marks.

32. What do you mean by fundamental analysis? Discuss briefly the tools used for fundamental analysis.
33. Give an account of the structure of derivative marketing in India.
34. Define investment. Explain the process of investment.
35. Explain the trading and operational mechanism followed in Indian stock exchanges.

(2 × 15 = 30 Marks)

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Fourth Semester B.B.A. Degree Examination, July 2019

Career Related First Degree Programme under CBCSS

Group 2(b)

Core Course – BM 1443 : INVESTMENT MANAGEMENT

(2014 Admission – 2016 Admission)

Time : 3 Hours

Max. Marks : 80

SECTION – A

Answer **all** questions in **1** or **2** sentences. Each carries 1 mark. :

1. What is financial investment?
2. Name the different avenues and alternatives of Investment.
3. What is money market?
4. Who is a stag?
5. What are derivatives?
6. What is a put option?
7. Name the various Acts which regulate the working of securities market.
8. What is SEBI?
9. What is a Deep Market?
10. What are the basis objectives of portfolio management?

(10 × 1 = 10 Marks)

SECTION – B

Answer any **eight** questions. Each carries 2 marks.

11. What is Speculation?
12. Define risk.
13. What is Primary market?
14. What is FPO?
15. Who are Arbitrageurs?
16. What is Online Trading?
17. List the factors responsible for the development of derivatives.
18. What are the different type of SWAPS?
19. What are the objectives of SEBI Act?
20. What is a Blue-chip stock?
21. What is NASDAQ?
22. What is cumulative preference shares?

SECTION – C

(8 × 2 = 16 Marks)

Answer any **six** questions. Each question carries 4 marks. :

23. State the investment principles for a successful selection of investment.
24. Explain the stages of investment process.
25. Discuss the functions of an efficient capital market.

26. Write the features of OTCEI.
27. Distinguish between money market and capital market.
28. What are the features of forward contract?
29. Explain the powers of SEBI.
30. Give a brief account of the phases of portfolio management.
31. Explain DOW theory.

(6 × 4 = 24 Marks)

SECTION – D

Answer any **two** questions. Each question carries **15** marks. :

32. Explain the Trading Mechanism in Stock exchange.
33. Describe the functions of a Stock exchange.
34. What is an option contract? What are its features?
35. Define Investment. What are the main objectives investment? Discuss factors affecting investment decisions.

(2 × 15 = 30 Marks)



(Pages : 2)

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Reg. No. :

Name :

Fourth Semester B.B.A. Degree Examination, July 2018
Career Related First Degree Programme Under CBCSS
Group – 2(b)
Core Course : BM 1443 : INVESTMENT MANAGEMENT
(2014 Admission Onwards)

Time : 3 Hours

Max. Marks : 80

SECTION – A

Answer **all** questions in **one** or **two** sentences. **Each** question carries **1** mark.

1. Define Investment.
2. Name the investment elements.
3. What is capital market ?
4. What is OTCEI ?
5. What are financial derivatives ?
6. What is Hedging ?
7. Name the departments of SEBI.
8. Write two objectives of Securities Contracts (Regulation) Act, 1956.
9. What is portfolio management ?
10. What is call option ?

(10×1=10 Marks)

SECTION – B

Answer **any 8** questions. **Each** question carries **2** marks.

11. Identify the factors influencing for investment.
12. Write a note on the need for investment.
13. What is SENSEX ?
14. What is Right Issue ?
15. What is Listing ?

P.T.O.



E – 3565

16. Who are jobbers ?
17. List the reasons for growth of derivative market.
18. What are the basic type of derivatives ?
19. List the factors to be considered while constructing a portfolio.
20. What is EIC frame work ?
21. What is Bond Market ?
22. Write a note on NSE.

(8×2=16 Marks)

SECTION – C

Answer **any 6** questions. **Each** question carries **4** marks.

23. Distinguish between investment and speculation.
24. Explain the structure of Indian Capital Market.
25. Discuss the various investment avenues.
26. Write a note on the capital market instruments.
27. Pointout the functions of a stock exchange.
28. What are the different types of risk ?
29. What are the features of derivatives ?
30. Give a brief account of constitution of SEBI.
31. Briefly explain the Elliott Wave Theory.

(6×4=24 Marks)

SECTION – D

Answer **any 2** questions. **Each** question carries **15** marks.

32. Examine the role of SEBI in capital market for investor's protection.
33. Define investment process. Explain the steps in the process of investing.
34. Explain the functions of capital market.
35. What are the characteristics of forward contract ? How is it different from futures contract ?

(2×15=30 Marks)



Reg. No. :

Name :

**Fourth Semester B.B.A. Degree Examination, July 2017
(Career Related First Degree Programme Under CBCSS)
Group 2(b)
Core Course
BM – 1443 : INVESTMENT MANAGEMENT
(2014 Admission)**

Time : 3 Hours

Max. Marks : 80

SECTION – A

Answer **all** questions in **one** or **two** sentences **each**. **Each** carries **1** mark.

1. What is Risk ?
2. What is SEBI ?
3. What do you mean by Arbitrageur ?
4. What is bear ?
5. What is NSE ?
6. What is Primary Market ?
7. What is Listing ?
8. What is NASDAQ ?
9. What is Repo Rate ?
10. What is Hedging ?

(10×1=10 Marks)

P.T.O.



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SECTION - B

Answer **any eight** questions in **not** exceeding **one** paragraph. **Each** question carries **2** marks.

11. What is capital market ?
12. What is secondary market ?
13. Distinguish between investment Vs speculation.
14. What are the characteristics of good investment ?
15. What are the different types of investors ?
16. What do you mean by forwards ?
17. What is portfolio analysis ?
18. What is certificate of deposits ?
19. What is treasury bills ?
20. What do you mean by transferable and non transferable investments ?
21. What do you mean by debentures ?
22. What is equity share capital ?

(8×2=16 Marks)

SECTION - C

Answer **any six** questions in **not** exceeding **one** page **each**. **Each** question carries **4** marks.

23. Explain the characteristics of investment.
24. Explain the functions of stock exchanges.
25. What is futures ? Explain the types of futures.
26. Explain online stock trading. Briefly explain the disadvantages of online stock trading.
27. Explain the functions of SEBI.



28. Write a note on portfolio management.
29. Write a note on emerging trends in Indian capital market.
30. Explain the contents of the prospectus.
31. Explain the reforms made by SEBI in the new issue market. (6×4=24 Marks)

SECTION - D

Answer **any two** questions in **not** exceeding **four pages each**. Each question carries 15 marks.

32. What is OTCEI ? What are its features and suitability for Indian Capital Market ?
 33. Explain the characteristics and objectives of investment.
 34. Explain Elliot Wave Theory.
 35. Explain the role of Financial derivatives in Indian Capital Market. Who are the participants involved in it ? (2×15=30 Marks)
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Reg. No. :

Name :

Fourth Semester B.B.A. Degree Examination, July 2017
(Career Related First Degree Programme Under CBCSS)

Group 2(b)

Core Course

BM 1441 – ENTREPRENEURSHIP DEVELOPMENT
(2014 Admission)

Time : 3 Hours

Max. Marks : 80

SECTION – A

Answer **all** questions in **one** or **two** sentences **each**. **Each** question carries 1 mark.

1. Define an entrepreneur.
2. Who is an intrapreneur ?
3. Who is imitative entrepreneur ?
4. Who is an induced entrepreneur ?
5. What is feasibility report ?
6. What is project appraisal ?
7. Define innovation.
8. What is rural entrepreneurship ?
9. Who is a franchisor ?
10. Expand DIC.

(10×1=10 Marks)

SECTION – B

Answer **any eight** questions in **not** exceeding **one** paragraph. **Each** question carries **two** marks.

11. Distinguish between entrepreneur and entrepreneurship.
12. Who is a growth entrepreneur ?
13. Distinguish between fabian and drone entrepreneur.



14. Explain the scope of women entrepreneurship.
15. Explain the objectives of EDP.
16. Explain the scope of a project report.
17. What is an ancillary unit ?
18. What is seed capital assistance ?
19. Explain the purpose of venture capital.
20. Explain the role of commercial banks in institutional financing.
21. What is feasibility study ?
22. Explain the disadvantages of franchising.

(8×2=16 Marks)

SECTION – C

Answer **any six** questions in **not** exceeding **one** page **each**. **Each** question carries 4 marks.

23. Explain the characteristics of an entrepreneur.
24. Explain the various barriers to effective entrepreneurship.
25. Explain the Entrepreneurial Development Cycle.
26. Explain the target group for EDP.
27. State the functions of DIC.
28. State the importance of a project report.
29. Explain the objectives of small scale industries.
30. Explain the conditions applicable to venture capital in India.
31. State the classification of franchising.

(6×4=24 Marks)



SECTION – D

Answer **any two** questions in **not** exceeding four pages **each**. **Each** question carries **15** marks.

32. Define entrepreneur. Briefly explain the functions of an entrepreneur.
 33. Explain the various factors affecting entrepreneurial growth in India.
 34. What is a project report ? State the contents of a project report.
 35. Explain the various steps involved in setting up a small scale industry.
(2×15=30 Marks)
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